**Chemistry 9724y: Materials analysis using synchrotron radiation** 

#### Instructor: T.K. Sham (tsham@uwo.ca)

Course outline	see handout	
Course evaluation	problem sets	55%
	essay/research proposal	25%
	oral presentation	20%

#### **Essay topics:**

You can select **any topic that is relevant to this course** (e.g. XAFS studies of Hg in the environment etc.) or write a **research proposal** on the analysis of systems of your own research. Send your topic with a couple of key references to me **not later than December 4, 2013**. The essay/research proposal (5 pages max, single line space, 12 point, 1 page appendix allowed) is due after the new year and the presentation (12 min + 3 min questions) is scheduled for the winter term not later than the end of the reading week.

# **Course Objectives**

To familiarize students with the principles and the applications of synchrotron techniques for materials analysis.

Emphasis: spectroscopy using X-rays with tunable wavelength (energy)

#### **References:**

- J. Stöhr, NEXAFS Spectroscopy (Springer, 1992)
  D. Koningsberger & R. Prins, (eds), X-ray Absorption Spectroscopy: Principles, Applications and Techniques of EXAFS, SEXAFS and XANES (Wiley, 1988)
  T.K. Sham (ed) Chemical Applications of Synchrotron Radiation (World Scientific, 2002)
- Frank de Groot and Akio Kotani, Core Level
- Spectroscopy of Solids (Taylor & Francis CRC press, 2008)
- **Grant Bunker** Introduction to XAFS Cambridge University press, 2012

# **Some relevant questions**

- What is material ?
- Why do we want to analyze materials ?
- What is synchrotron radiation ?
- Why is synchrotron useful in materials analysis ?
- How do we analyze materials using SR?

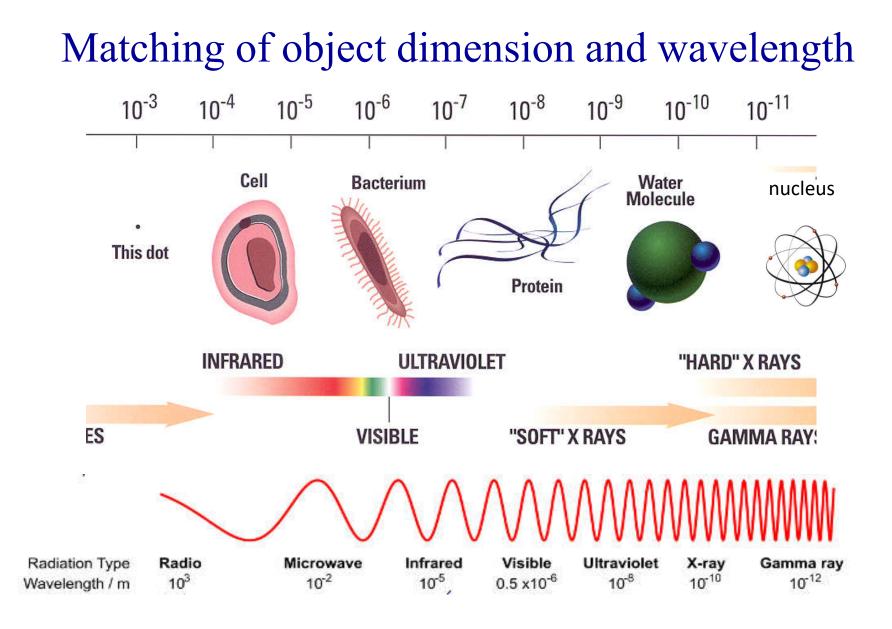
Perspective: Science of length scale in size, energy and time

- The **ruler** must have divisions comparable or smaller than the dimension of the object
  - The **clock** must have a time response faster than the duration of the event it is measuring

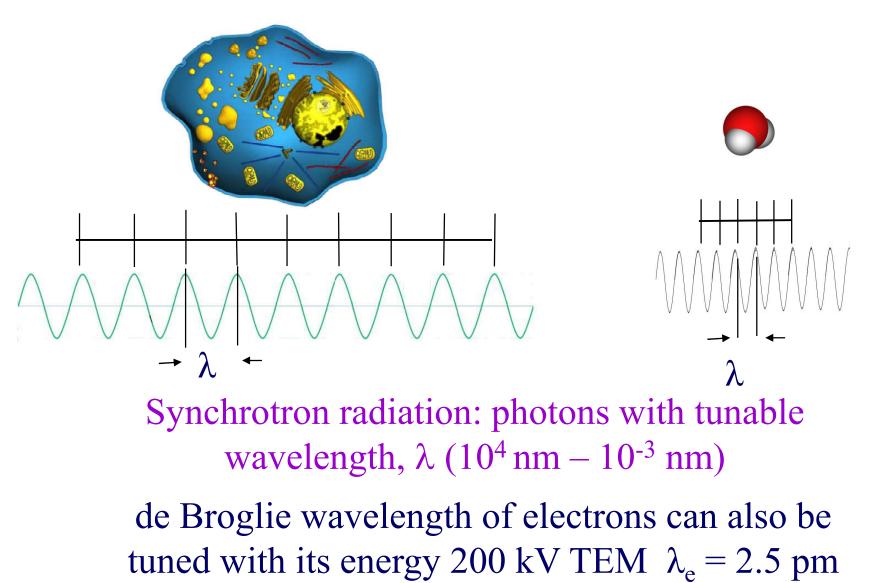
# Scale of things in size and time

#### Ultra-Fast Ultra-Small Technology Nature Technology Nature Head of a 10-9 10<sup>-3</sup> Flea pin~1mm m-1mm Magnetic Human hair Computing time recording time The Microworld Hydrogen per bit is ~ 1 ns ~30 um wide Micro gears . per bit is ~ 2 ns transfer time -100 µm 10-100 um 100 ps in molecules diameter is~1ns - 10 um Optical network switching Spin precesses DVD track time per bit is ~ 100 ps in 1 Tesla field 10<sup>-6</sup> m\_1µm is 10 ps Red blood cells 10 µm 10<sup>-12</sup>s & white cell ~5um 1 ps The Nanoworld Shock wave propagates -100 nm 1 um Electrodes Virus ~ 200 nm by 1 atom in ~ 100 fs Laser pulsed connected with current switch ~ 1ps nanotubes 100 fs 10 nm **DNA** helix Carbon nanotube Water dissociates in ~10 fs ~ 2nm diameter ~3 nm widt 10<sup>-9</sup> 10 fs m-1nm Light travels mm 1 um in 3 fs 10<sup>-15</sup>s 🚣 1 fs \_0,1 nm Water Atom Atomic corral molecule Bohr period of ~ 14 nm diameter Oscillation period of Shortest laser valence electron pulse is ~ 1 fs visible light is ~ 1 fs is~1fs

# **Source: US DOE**



#### "Rulers" for small length scale: Photons and Electrons



= 0.025Å

# Materials: matter with desired functionality

# **General considerations**

- Materials can be classified by
- a) phase: gas, liquid and solid (crystalline, amorphous, polymer)
- b) properties: metal, semiconductor, insulator, soft matter, etc.
- c) composition: pure substance, composite
- d) functionalities: biomaterials, nanomaterials, LED materials, superconductor, energy materials, nuclear materials, soft matter etc.

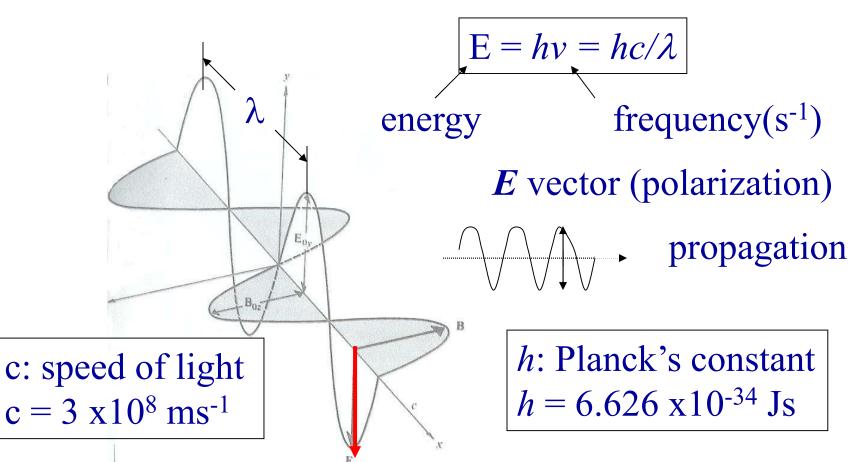
# **Issues in materials analysis**

Microscopic:

- Structure (arrange of atoms in space)
- Bonding (oxidation state, electronic structure/distribution)

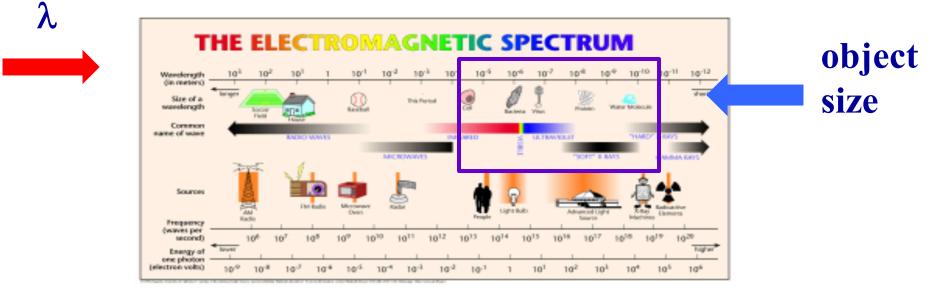
# Macroscopic:

• Chemical, mechanical, electrical, magnetic, optical, physiochemical biocompatibility properties, etc. **Probing matter with SR, a versatile** *light source* What is *light*? (wave and particle dual behavior)

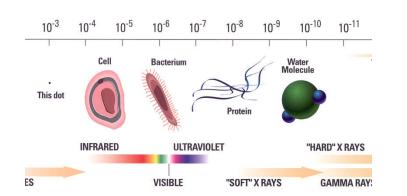


The interaction of **B** field with matter is at least 2 orders of magnitude weaker than the **E** field

# Electromagnetic wave spectrum λ(Å)=12398.5/E(eV)

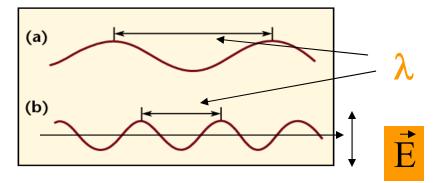


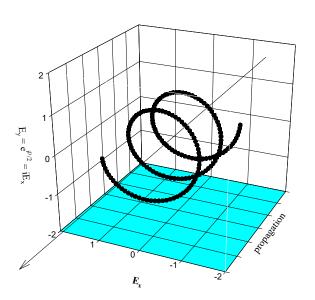
Light sees object with dimension comparable to its wavelength



# What is light?

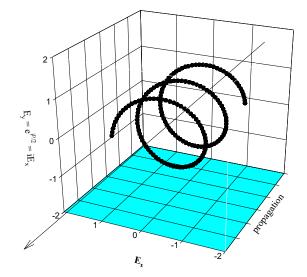
Light is a particle with spin = 1, 0 mass and behaves like a wave





#### linear polarized

E precesses to the left or right along the direction of propagation



#### circular polarized light

"Acceleration of charge particles"

- Linear acceleration of charge particles
- Electric dipole oscillations
- Synchrotron radiation (centrifugal acceleration)

# "Radiative transitions"

Line spectrum (single frequency):

- Transition between valence and inner valence/shallow core level yields visible and UV light
- Deep core levels yields X-rays
- Energy levels of the nucleus yields  $\gamma$  rays

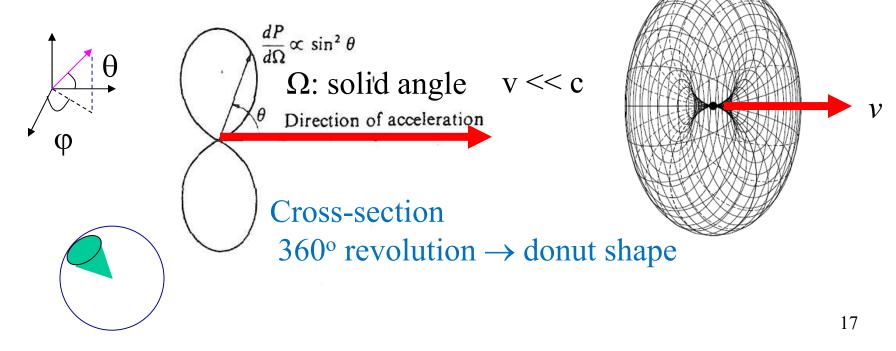
"Acceleration of charge particles"

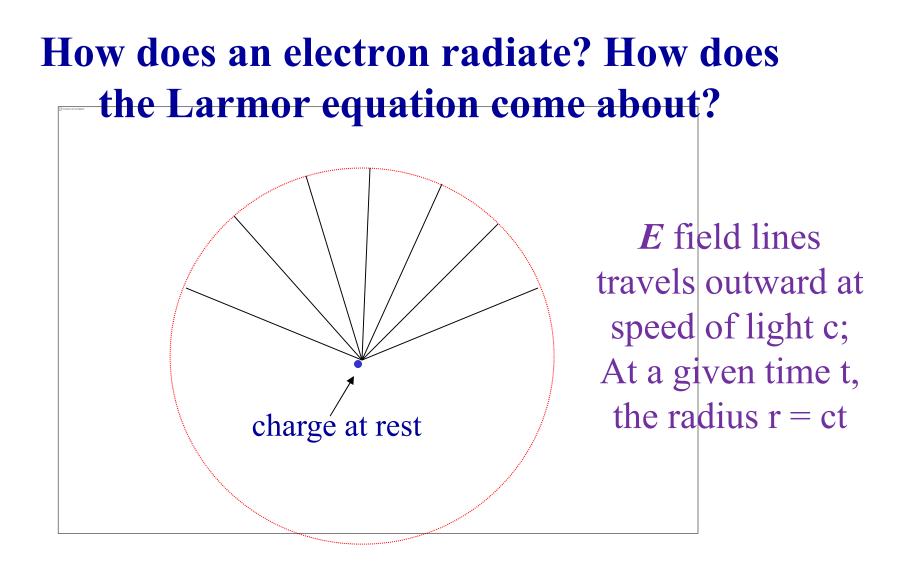
# Linear acceleration of a charge particle

Instantaneous power radiated (energy per unit time)

#### **Larmor equation** $P = (2/3) (e^2/m^2c^3) (dp/dt)^2$ dp/dt = m(dv/dt) = ma

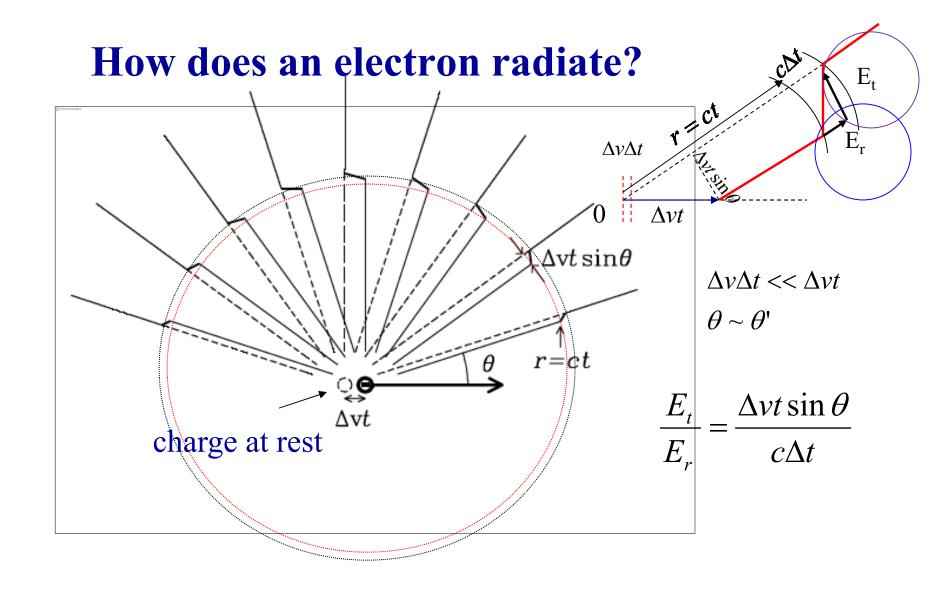
*p*: momentum (mv); m, mass; c, speed of light; e, electron charge, *a*: acceleration





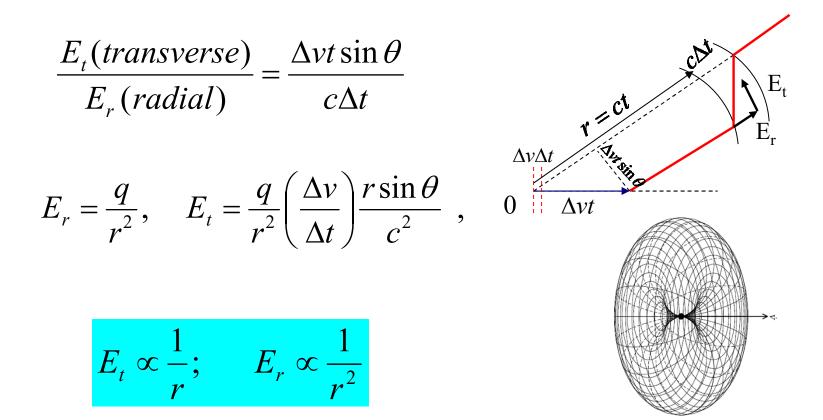
charge accelerates to a velocity  $\Delta v$  within a very short time interval  $\Delta t$ , what is the *E* field line after a time *t* 

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charge at rest is accelerated to a slow velocity  $\Delta v$  within a very short time  $\Delta t$ , (acceleration  $=\dot{v}=\Delta v/\Delta t$ ) the field line E after time t<sup>19</sup>

#### How does e radiate?



For large r,  $E_r$  becomes negligible only  $E_t$  remains, thus at large distance, we shall be left with a pulse of a transverse field traveling outward with velocity c

# How much energy per unit time (power) is radiated as light in each direction?

In a vacuum, the Poynting flux, or power per unit area (erg s<sup>-1</sup> cm<sup>-2</sup>)

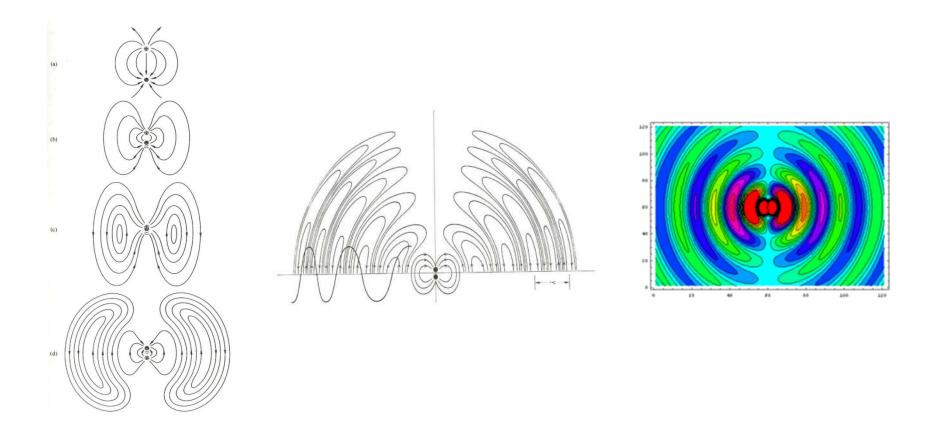
$$\vec{S} = \frac{c \ \vec{E} \times \vec{H}}{4\pi}, \text{ in } cgs \text{ units } \left|\vec{E}\right| = \left|\vec{H}\right|, \left|\vec{S}\right| = \frac{c}{4\pi} E^2$$
$$\left|\vec{S}\right| = \frac{c}{4\pi} E_t^2 = \frac{q^2}{4\pi} \left(\frac{\Delta v}{\Delta t}\right)^2 \frac{\sin^2 \theta}{c^3 r^2}$$

$$P = \int \left| \vec{S} \right| dA = \frac{q^2 \dot{v}^2}{4\pi c^3} \int_{\phi=0}^{2\pi} \int_{\theta=0}^{\pi} \frac{\sin^2 \theta}{r^2} r \sin \theta d\theta r d\phi$$

 $P = \frac{2}{3} \frac{q^2 \dot{v}^2}{c^3} = \frac{2}{3} \frac{q^2 \dot{p}^2}{m^2 c^3} = \frac{2}{3} \frac{q^2}{m^2 c^3} \left(\frac{dp}{dt}\right)^2$ 

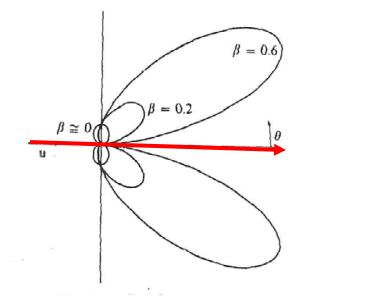
Larmor equation

# **Electric dipole oscillation**



The E field of an oscillating dipole

Linear acceleration of a charge particle traveling at nearly the speed of light (**relativistic**)



$$P = \frac{2}{3} \frac{q^2}{m^2 c^3} \left(\frac{dp}{dt}\right)^2,$$
$$p = \frac{mv}{\sqrt{1 - \beta^2}}, \quad E = \frac{mc^2}{\sqrt{1 - \beta^2}}$$

For relativistic particles,  $\beta = v/c \sim 1$ ,  $\gamma = \text{mass (m)}/\text{ rest mass (m_0)}$  only true For electrons, the rest mass = 0.511 MeV for fast e  $\downarrow$ For e,  $\gamma = E/m_0c^2 = 1/\sqrt{1-(v/c)^2} = 1957 E \text{ (GeV)}$ 

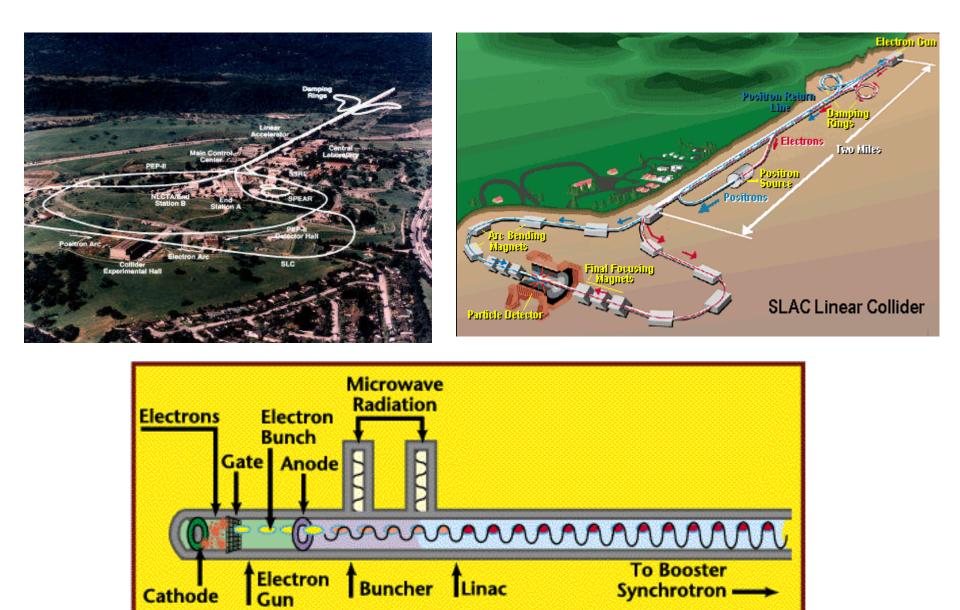
# **Energy loss to radiation in linear** acceleration

$$P = \frac{2}{3} \frac{q^2}{m^2 c^3} \left(\frac{dp}{dt}\right)^2 = \frac{2}{3} \frac{q^2}{m^2 c^3} \left(\frac{dE}{dx}\right)^2$$

The energy loss via radiation P, compares with the energy gained per unit length dE/dx is

$$\frac{P}{dE/dx} = \frac{2}{3} \frac{e^2}{mc} \frac{dE/mc^2}{dx}$$

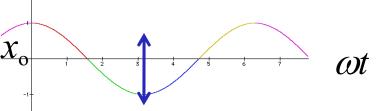
The loss for high energy electron is very small, show it! Further reading, J. Schwinger, Phys. Rev. 75, 1912, (1949) 24



# Example

Consider an electron moving in the x direction with the motion described by

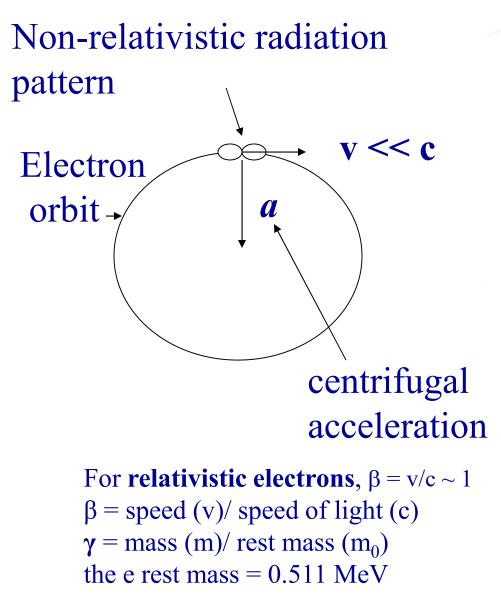
$$x = x_o \cos \omega t$$

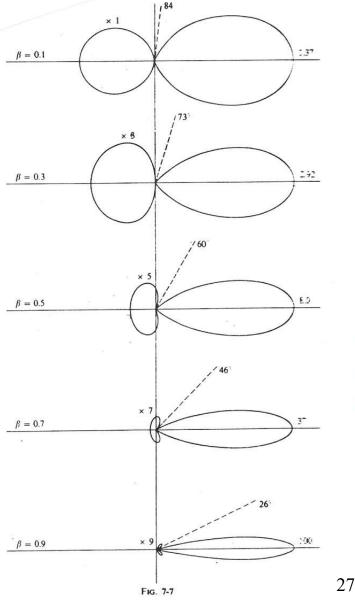


This corresponds to an oscillatory electric dipole with amplitude of  $x_0$  and angular frequency  $\omega$ . If the acceleration  $a = -\omega^2 x$ , we have

$$P = \frac{2}{3} \frac{q^2}{m^2 c^3} \left(\frac{dp}{dt}\right)^2 = \frac{2}{3} \frac{e^2 a^2}{c^3} = \frac{2}{3} \frac{e^2 x^2 \omega^4}{c^3}$$
$$P_{average} = \frac{2}{3} \frac{e^2 x^2 \omega^4}{c^3} = \frac{1}{3} \frac{e^2 x_o^2 \omega^4}{c^3}$$

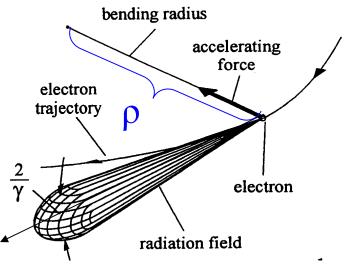
### **Synchrotron Radiation**





# **Synchrotron radiation**

- A relativistic electron with energy E, bent by a magnetic field H, with a radius of curvature ρ in a circular path will radiate energy *per turn* with radiated power. *P*.
- $P = (2/3) (e^2 c/\rho^2) \beta^4 \gamma^4$
- $\rho$  is the radius of curvature
- $1/\gamma$  is the opening angle



#### Example: **p**

If the energy of the e is in GeV ( $10^9 \text{ eV}$ ) and the radius in metre, the power radiated per turn for ring current I in ampere is

$$P = 88.5 \text{ I } \mathbf{E}^4 / \rho$$
 (kw)

# Example

• The Synchrotron Radiation Center in Wisconsin has the following parameters

 $E = 0.8 \text{ GeV}, \rho = 2.0833 \text{ m}$ , what is the energy loss of the electron per turn to synchrotron radiation?

Solution: From  $P = 88.5 \text{ I E}^4/\rho$  (kw) we get P = 17.4 I (kw)Energy loss (for a single 0.8 GeV e<sup>-</sup>):  $\delta E = 17.4$ keV/turn [show this!] hint: W= J/s, J = AVs

# "Radiative transitions"

# X- ray line spectrum:

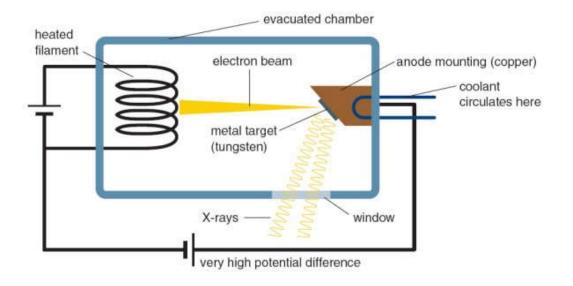
Transition of electrons from shallower levels to fill the core hole in deeper core levels yields Xrays of characteristic energy which is equal to the binding energy of the levels involved.





#### November 8, 1895: Roentgen's Discovery of X-Rays

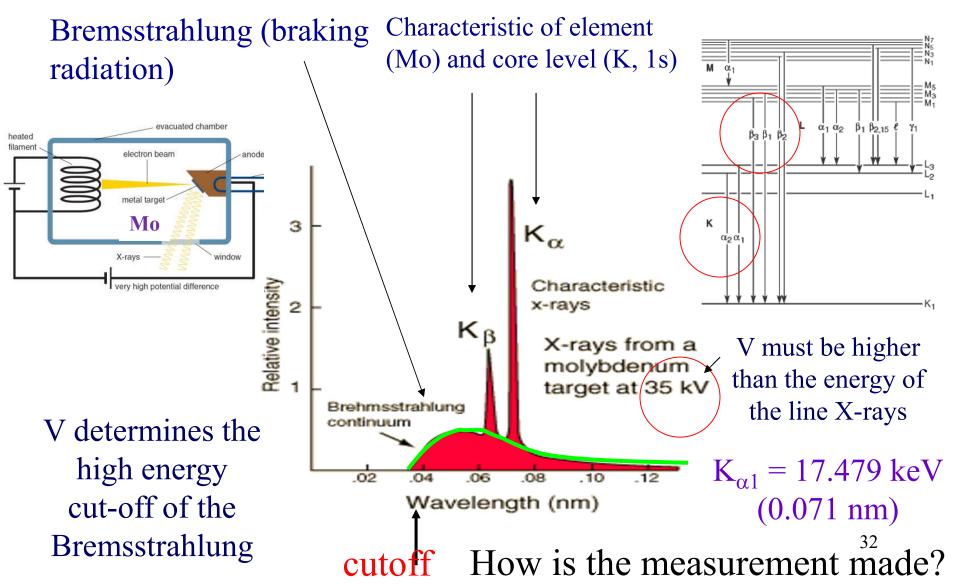
http://www.aps.org/publications/ap snews/200111/history.cfm





What does the X-ray spectrum look like?

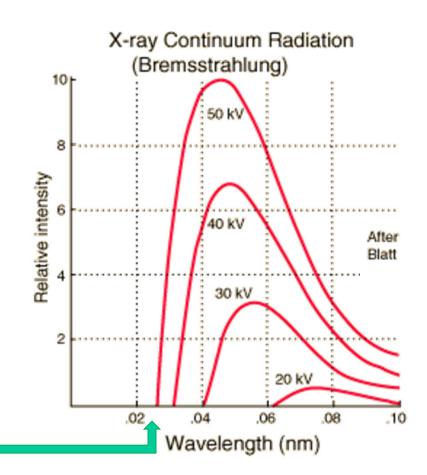
# X-ray spectrum (conventional instrument) Continuum and Characteristic Line spectrum



# Bremsstrahlung (braking radiation): the continuum

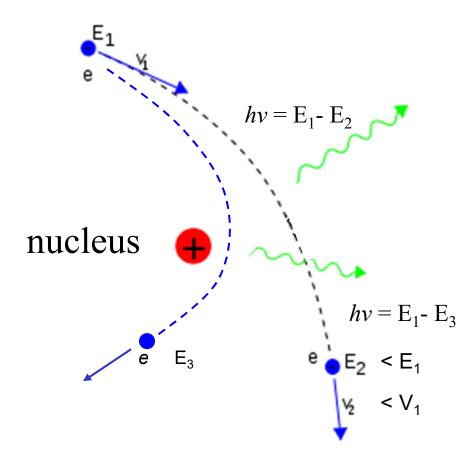
- A broad continuum with a short wavelength cut-off
- The higher the Voltage (energy), the more intense the X-ray and the shorter wavelength the cut-off

$$\lambda_{cut-off}(nm) = \frac{1239.85}{E(eV)}$$



Before synchrotron radiation, Bremsstrahlung was the only way to produce tunable X-rays

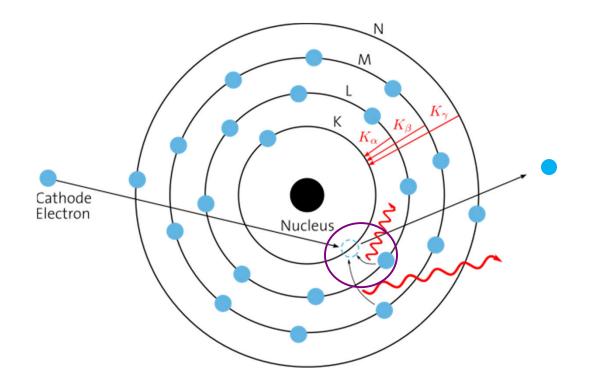
# How does Bremsstrahlung, the continuum come about ?



The closer the electron to the nucleus, the bigger the bent, the bigger the energy loss to radiation

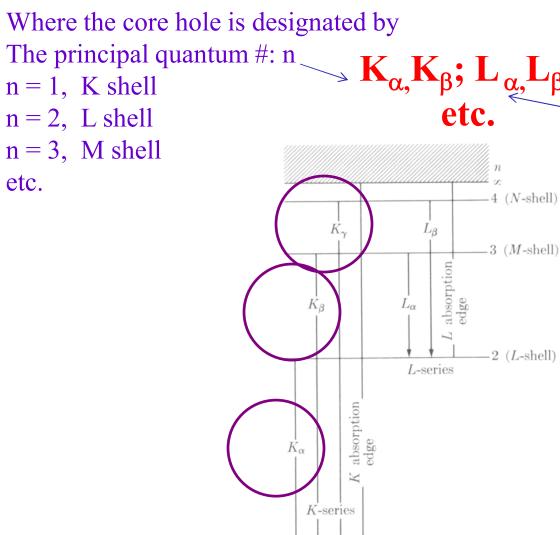
# **X-ray: the characteristic line emission**

Characteristic X- rays are emitted when outer shell **electron fills** the inner shell **hole** left behind when the inner shell electrons are knocked off by the energetic incoming electron



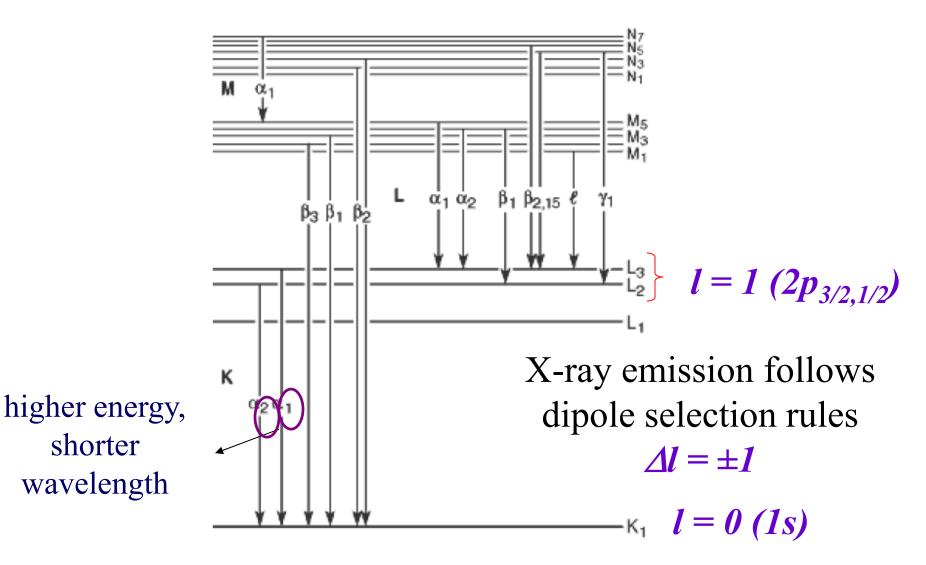
# Nomenclature: characteristic X-ray line emission

 $1 \quad (K-\text{shell})$ 



 $\alpha$ ,  $\beta$ ,  $\gamma$  etc., refers to the closest, the second closest *shell*, etc. above the core hole

### **Detailed assignment of X-ray lines** (X-ray data booklet)



## Some representative X-ray lines

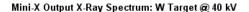
• The nomenclature was developed before the electronic structure of atom was fully understood

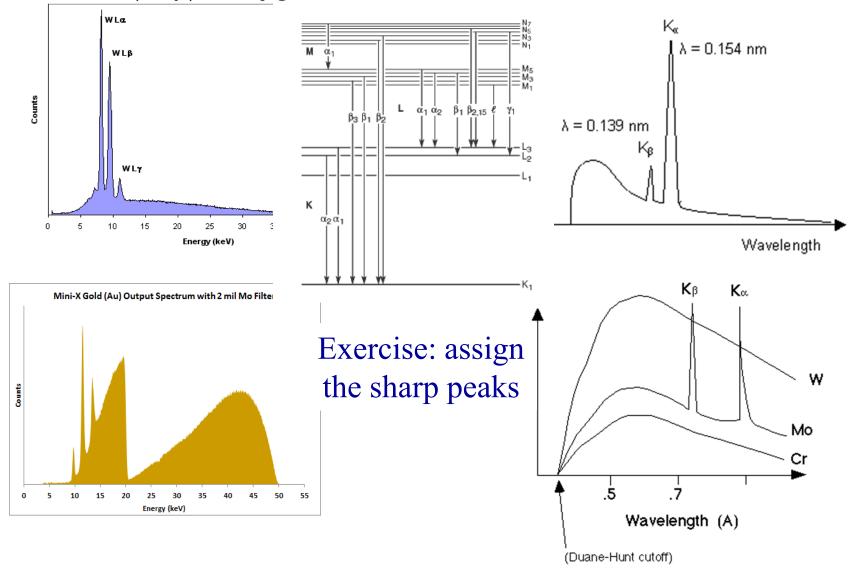
Source -	Shell Filled						
	к	L	LII	LIII	MIII	MIV	MV
L							
LII	K <sub>042</sub> (50)						
L <sub>III</sub>	K <sub>ck1</sub> (100)						
M			Lη (1)	L <sub>1</sub> (2)			
MII	Kβ <sub>3</sub> (1)	$L\beta_{4}(5)$		Lt (0.01)			
MIII	K <sub>β1</sub> (20)	L <sub>β3</sub> (6)	L <sub>β17</sub>	L <sub>S</sub> (0.01)			
MIV	K <sub>B5</sub>	LB <sub>10</sub>	Lβ <sub>1</sub> (50)	L <sub>042</sub> (10)			
Mv	κ <sub>β5</sub> ,	L <sub>B9</sub>	100 AF LODGE SEC	L <sub>041</sub> (100)			
NI			L <sub>γ5</sub> (0.1)	Lβ <sub>6</sub> (0.1)			
NII	K <sub>β2*</sub> (5)	$L_{\gamma_{2}}(1)$					
NIII	KB2	L <sub>73</sub> (2)					
NIV	K <sub>B4</sub>	22200-010	L <sub>γ1</sub> (10)	L <sub>β15</sub> (1)	$M_{\gamma_2}(1)$		
NV	KB4			LB2 (20)	$M_{\gamma_1}(1)$		
NVI			Lv			Mβ, (50)	Mα <sub>2</sub> (100)
N <sub>VII</sub>			Lv				M <sub>ct 1</sub> (100)
O <sub>I</sub>		$L_{\gamma_4}$	L <sub>γa</sub>	Lβ <sub>7</sub>			
0	K <sub>δ2</sub> (0.1)	L <sub>Y4</sub>	10				
011	Kδ, (0.1)						
OIV	1999-199 <b>8</b> - 1999-1997 - 1997		L <sub>76</sub>	L <sub>B5</sub>			
ov			10	LBs			

value in parenthesis are intensity, 100 means most intense line (see x-ray data book for more details)

Al  $K_{\alpha}$  used in XPS has  $K_{\alpha 1}$  and  $K_{\alpha 2}$  line 1486.295 eV & 1486.708eV, respectively; use monochromator to get rid of  $K_{\alpha 2}$  to get better energy resolution

### **Representative X-ray spectrum**



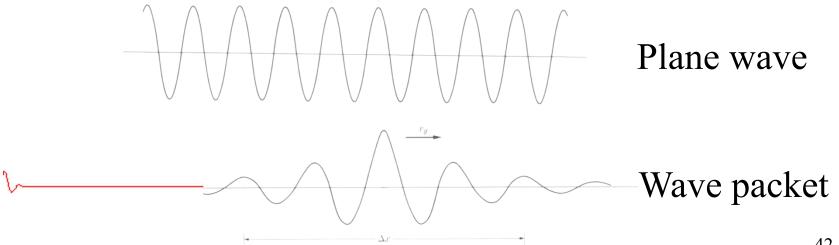


## **Materials Properties**

- Material properties are determined by the electronic structure of the materials
- The electronic structure is determined by the behavior of the electron in its environment, technically the *potential* (coulomb and exchange) set up by the nuclei and other electrons (structure and bonding)

### **Properties of electrons**

- Smallest charge particle that carries a ve charge
- Exhibits wave behavior λ = h /p (de Broglie) where h is the Planck's constant and p the linear momentum
- Posses a spin of ½ (fermions, exchange interaction) in contrast to bosons which has integral spin
- Absorbs light when it is bound by a potential
- Free electron does not absorb light but scatters light



## **Behaviour of electrons in matter**

• The time indpendent Schrödinger's equation (1- dimension)

$$-\frac{\hbar^2}{2m} \frac{d^2 \psi(x)}{dx^2} + V(x)\psi(x) = E\psi(x)$$
  
f
Kinetic energy
$$p^2/2m = mv^2/2$$
Total energy

### **Potential energy**

Hamiltonian, 
$$\hat{H}$$
:  $\hat{H} = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x);$   $\hat{H}\psi(x) = E\psi(x)$   
 $\hbar = \frac{\hbar}{dx}$   $h:$  planck's constant 43

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## Free particle V(x) = 0

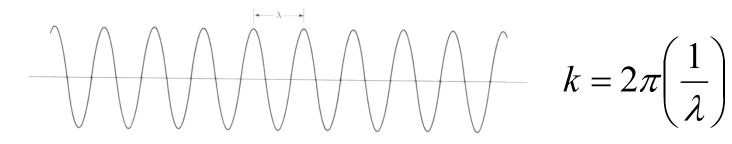
$$-\frac{\hbar^2}{2m}\frac{d^2\psi}{dx^2} = E\psi(x)$$

$$E = \frac{p^2}{2m}, \ p = k\hbar; \ \frac{d^2\psi}{dx^2} + k^2\psi = 0$$
 k: wave vector

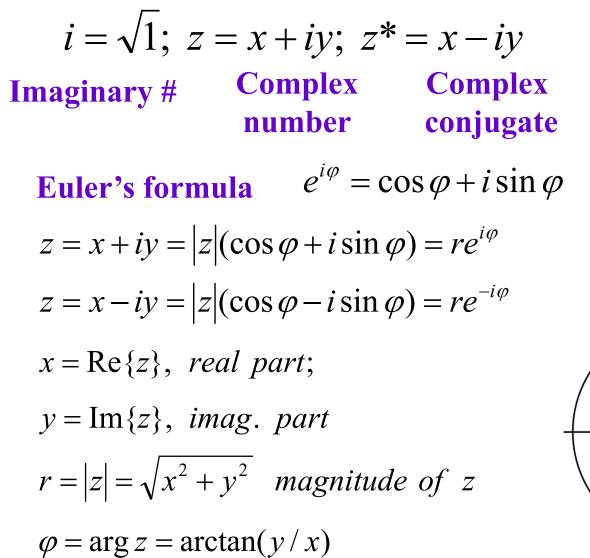
$$\psi(x) = e^{ikx}$$
 and  $\psi(x) = e^{-ikx}$ 

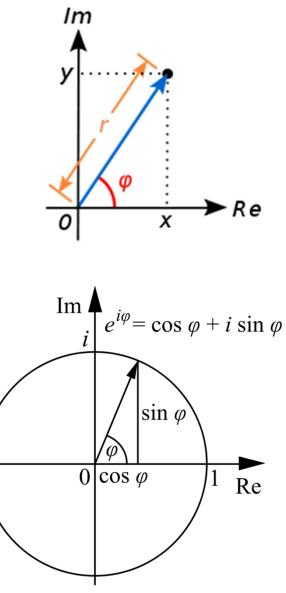
Solution:  $\psi(x) = Ae^{ikx} + Be^{-ikx}$ 

plane wave



### Math note





## **Potentials (a review)**

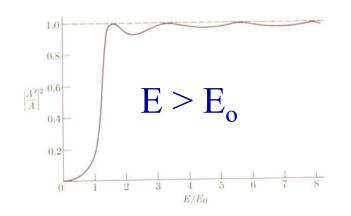
- Particle in a box
- Atomic: coulomb (asymptote) plus centrifugal term (due to no zero angular momentum, *l* > 0)
- Molecule: molecular potential
- Solid: periodic potential (crystals)
- These potentials support discrete energy electronic states (core/valence levels in atoms and small molecules) and closely spaced states (bands in solids, polymers)
- Synchrotron spectroscopy studies the transitions between these states providing info on structure and bonding

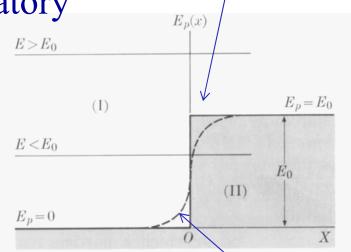
For a brief review of potentials, see Alonso –Finn Fundamental University Physics Vol III Addison-Welsely 1966 or any quantum mechanics text book <sup>46</sup>

## **Potential step**

### Math: step function

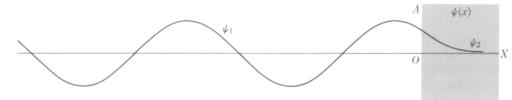
### The transmission is oscillatory



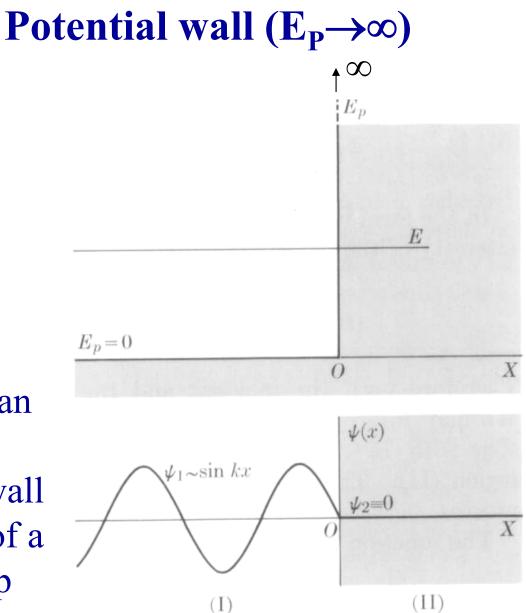


Dashed curve: physically meaningful step (Fermi edge in metals)





The particle can penetrate the potential wall



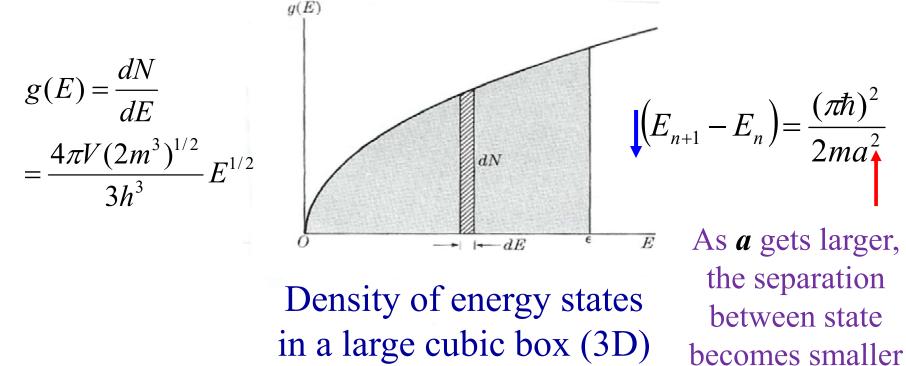
The particle can no longer penetrate the wall as in the case of a potential step

### **Potentials and electronic states**

1-D particle in a box;  $E_{p}(x)$  $E_4 = 16E_1$ electronic states are discrete  $E_3 = 9E_1$ (quantized), V=0 inside the box E  $-\frac{\hbar^2}{2m}\frac{d^2\psi(x)}{dx^2} + V(x)\psi(x) = E\psi(x)$  $E_2 = 4E_1$  $\psi = 0$  $\psi = 0$  $\psi \sim \sin kx$  $\frac{d^2\psi}{dx^2} + k^2\psi = 0 \quad k: \text{ wave vector}$ Line of symmetry A B  $\psi(x) = Ae^{ikx} + Be^{-ikx}$ C D  $\psi(x) = A \sin kx;$  $\psi(x) = 0$  at x = 0, aE F  $E_n = \frac{(n\pi\hbar)^2}{2ma^2}; n = 1,2,3$ 

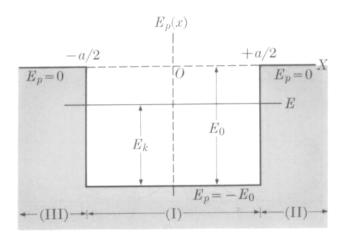
### Potentials and electronic states in a large box

As the length of the box increases, the energy separation between states becomes smaller



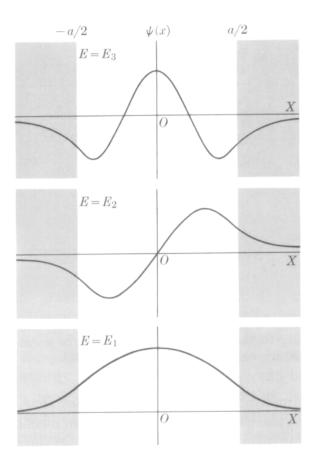
e.g. atoms forming solids, polymers, etc.

## **Potential well**



One dimensional well with width a and depth  $E_o$  (2 opposing steps)

Potential wells can support discrete energy states



### **Atomic potential** Continuum E >0 Asymptote supports Rydberg states $n \rightarrow \infty$ $\mathbf{E} = \mathbf{0}$ $n = \infty$ (vacuum level) $\frac{1}{-}$ dependence r bound states E < 0 $E_p(x)$ -a/2+a/2 $E_n = 0$ e<sup>-</sup>

E =

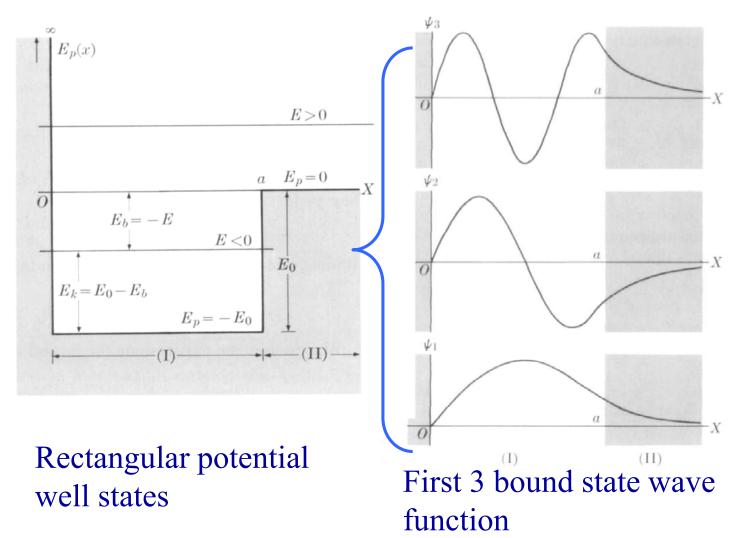
nucleus

 $E_p = 0$   $E_p = 0$   $E_p = 0$   $E_p = 0$   $E_p = -E_0$   $E_p = -E_0$   $E_p = -E_0$   $E_p = -E_0$ 

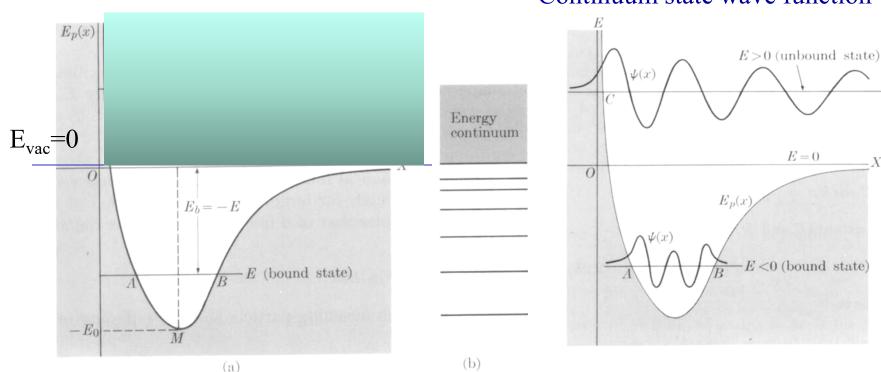
Coulomb potential

$$V = \frac{1}{4\pi\varepsilon} \frac{q}{r} \propto \frac{1}{r}$$

## **Rectangular potential well**



# **General potentials**



Continuum state wave function

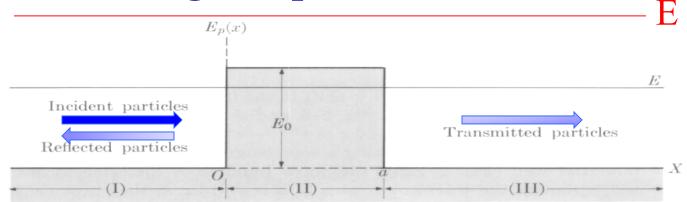
(a) Potential curve for strong repulsion at small x and negligible interaction at large x (e.g. diatomic molecule)

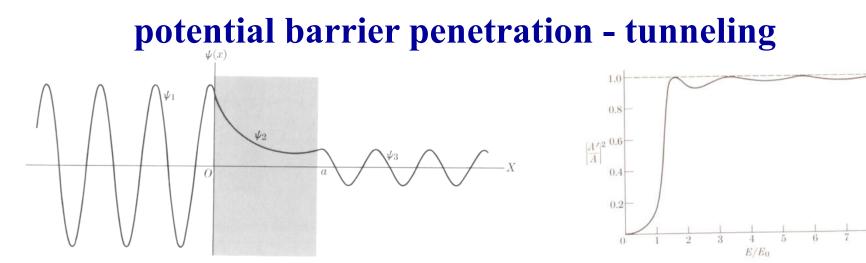
(b) Discrete energy levels and continuum

Wave function of bound and continuum states 55

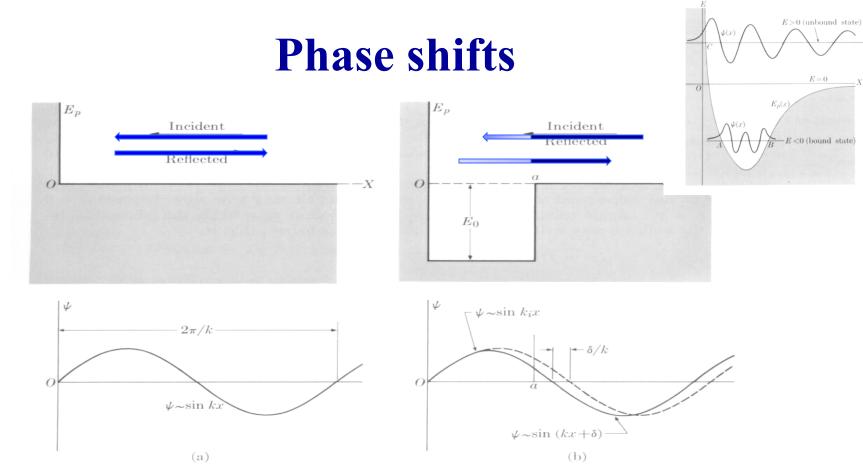
E = 0

## **Rectangular potential barrier**





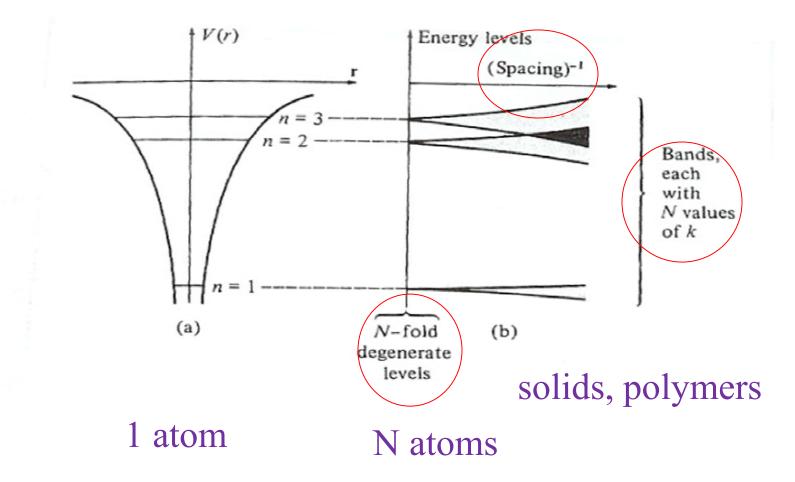
Wavefunction of an energy less than the height of the barrier,  $E < E_o$  Transmission of a particle with  $E > E_0$ 



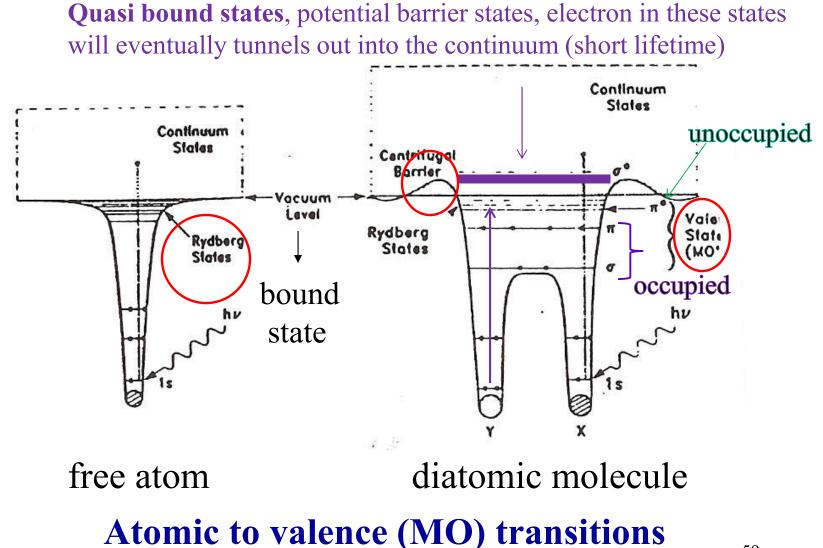
When  $e^-$  is scattered by a **potential**, its wavefunction is distorted (experiences a phase shift,  $\delta$ )

the wave function outside the area of x = a is modified by  $\delta/k$  so that it smoothly joins the wavefunction at x = a inside the potential well; a local modification of the potential x=0 to x=a affects the<sub>57</sub> wavefunction at x > a, expresses in phase shift,  $\delta$ 

### **Potentials and electronic states:** from atom to condensed matter



## **Potential in diatomic molecule**

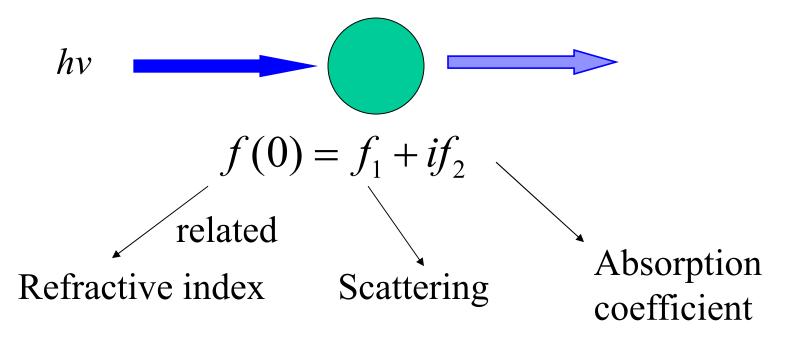


## Interaction of light with matter

- Scattering (elastic and inelastic)
- Absorption (annihilation of the photon)
- Scattering and absorption are taking place simultaneously
- Scattering amplitude /Absorption cross-sections (coefficient) of atoms

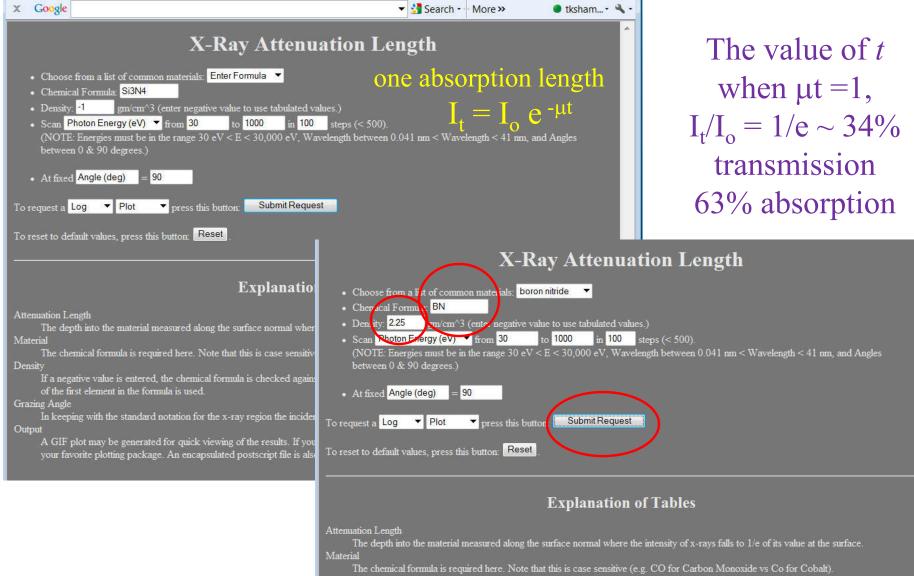
## **Atomic scattering factors**

The interaction of light and atom for photons in the energy range of VUV to hard x-rays (> 30 eV) can be expressed in terms of their scattering factor in the forward scattering position (θ = 0)



## the X-ray calculator

- 1. Go to the web: <u>http://www-cxro.lbl.gov/</u>
- 2. Click "X-ray Database" on the left panel
- 3. You will find "X-ray interaction with matter" from which you can calculate X-ray properties of elements, attenuation length, transmission of gas and solid, etc. that are most relevant to X-ray spectroscopy. *Exercise:* Use the calculator to calculate the x-ray properties of the materials relevant to your research



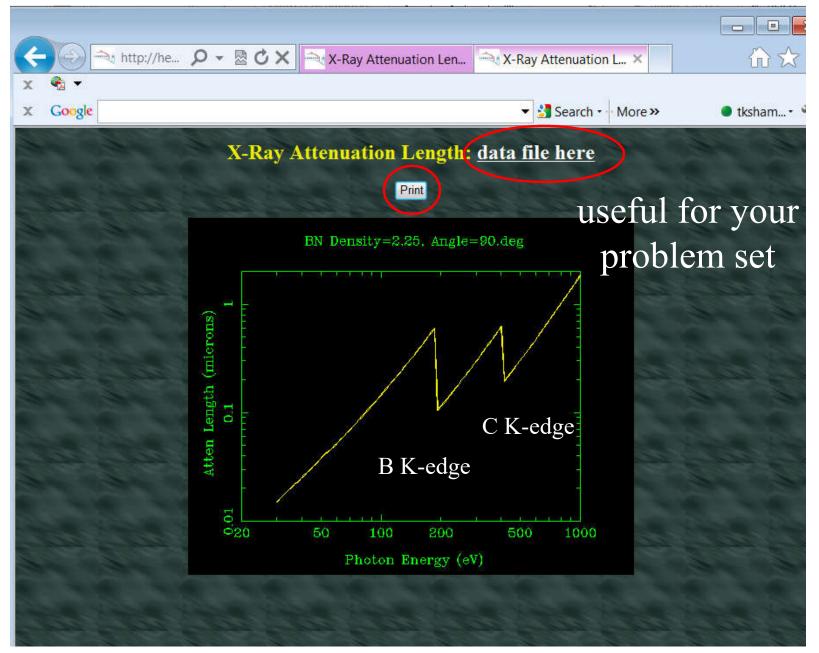
Density

If a negative value is entered, the chemical formula is checked against a list of some <u>common materials</u>. If no match is found then the density of the first element in the formula is used.

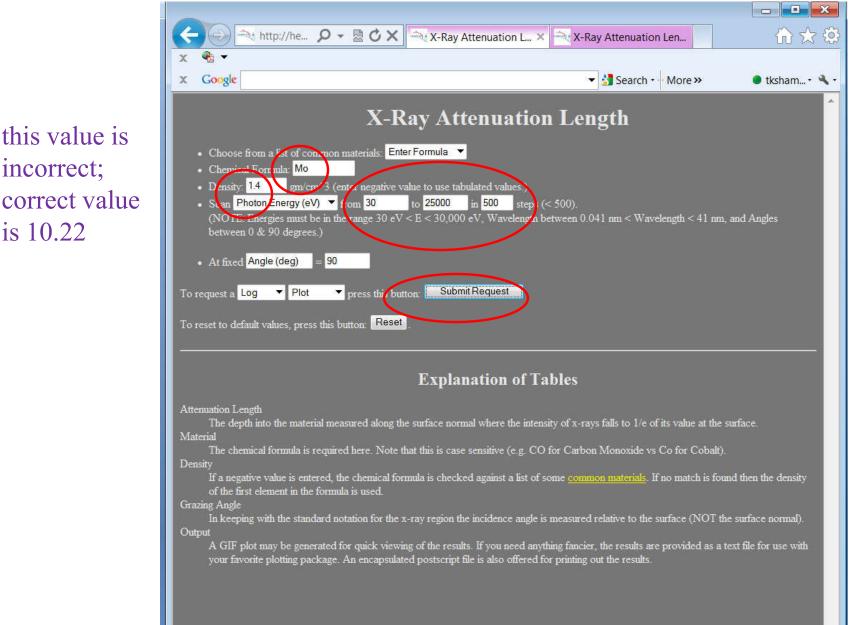
#### Grazing Angle

In keeping with the standard notation for the x-ray region the incidence angle is measured relative to the surface (NOT the surface normal). Output

A GIF plot may be generated for quick viewing of the results. If you need anything fancier, the results are provided as a text file for use with your favorite plotting package. An encapsulated postscript file is also offered for printing out the results.



### **Attenuation length of Mo**

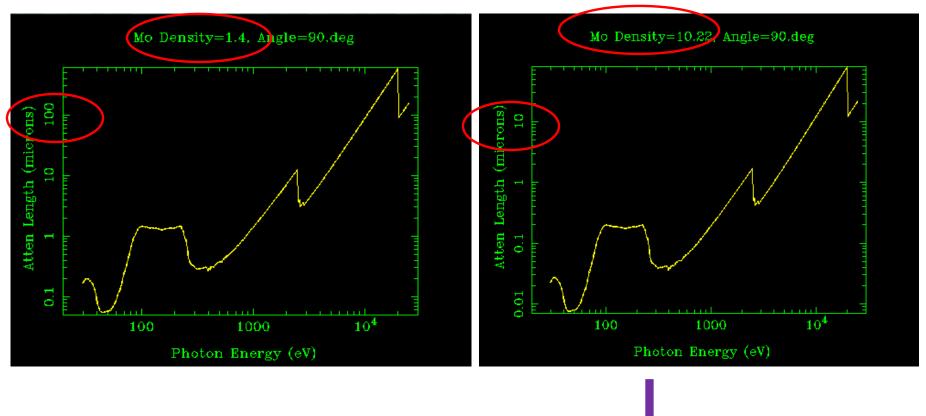


is 10.22

## **Attenuation length of Mo**

### incorrect density

### correct density



Use this to explain the Au X-ray spectrum with a Mo filter (slide 33)